



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Carol Brugnara et al. Art Unit : 1624  
Serial No. : 10/043,640 Examiner : Unknown  
Filed : January 10, 2002  
Title : SUBSTITUTED DIPHENYL INDANONE, INDANE AND INDOLE COMPOUNDS AND ANALOGUES THEREOF USEFUL FOR THE TREATMENT OF PREVENTION OF DISEASES CHARACTERIZED BY ABNORMAL CELL PROLIFERATION

**BOX MISSING PARTS**

Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed February 26, 2002 (copy enclosed), applicant claims small entity status (see 37 CFR 1.27) and submits herewith the following:

- Payment of the basic filing fee of \$370;
- Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- A Petition for One Month Extension of Time;
- A substitute specification in compliance with 37 C.F.R. 1.52;
- A check in the total amount of \$490 is attached;
- A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

May 24, 2002

Date of Deposit

Signature

Lauren Bisher

Typed or Printed Name of Person Signing Certificate

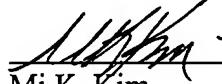
Applicant : Carol Brugnani  
Serial No. : 10/043,640  
Filed : January 10, 2002  
Page : 2

Attorney's Docket No. 3691-002005 / 470-104US2

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: May 24, 2002

  
Mi K. Kim  
Reg. No. 44,830

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document1



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,640	01/10/2002	Carlo Brugnara	13691-002005

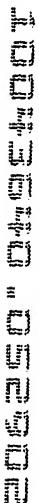


Gregory P. Einhorn  
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Suite 500  
4350 La Jolla Village Drive  
San Diego, CA 92122

**CONFIRMATION NO. 7466**  
**FORMALITIES LETTER**

  
\*OC00000007537364\*

Date Mailed: 02/26/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

05/31/2002 MBIZUNES 00000077 10043640

01 FC:201  
02 FC:205

370.00 UP  
65.00 UP

*A copy of this notice **MUST** be returned with the reply.*

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**Customer Service Center**

**Initial Patent Examination Division (703) 308-1202**

PART 2 - COPY TO BE RETURNED WITH RESPONSE

三國志